

Express Mail Label No.

EL 49244846

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Docket No.

GP-30039

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

David Michalovich et al.

J. Graser

1641

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/240,410 filed on January 29, 1999 and entitled:

NOVEL COMPOUNDS

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

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APR 19 2000
GROUP 1641
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☒ An Information Disclosure Statement (IDS) is enclosed:
 - a. ☒ PTO-1449
 - b. ☒ Copies of IDS Citations
6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	14	- 20 =	0	\$18.00	\$0.00
Indep. Claims	0	- 3 =	3	\$78.00	\$234.00
Multiple Dependent Claims (check if applicable)			<input type="checkbox"/>		\$0.00
BASIC FEE					\$690.00
TOTAL FILING FEE					\$924.00

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 19-2387

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☐ A check in the amount of _____ is enclosed.

9. ☒ Also enclosed:
Certificate of Express Mail

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10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

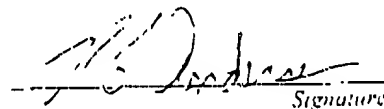
C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: April 10, 2000


Signature

Robert L. Andersen
Typed or printed name

25.771
Registration Number, if applicable

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

Date: April 12, 2000

Hon. Commissioner of Patents and Trademarks:

Please acknowledge receipt of the document(s) described below by imprinting the PTO "date-stamp" hereon and returning this card to the addressee indicated on the reverse side.

FILE NO. 3502 FEE DUE? ☒ YES ☐ NO AMT. ENC'D By AgentNAME Daniel Michaelis ch-facDOCUMENTS CPA transmittal formIDS + 1449: port ch exp mact;2 references

07 222.410

(10)

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FACSIMILE COVER SHEET

DATE: April 19, 2000

OUR REF NO.: GP-30039

TIME: _____

YOUR REF NO.:
CPA of 09/240,410

FROM:	Paul Golian
TO:	Jennifer Graser
COMPANY:	USPTO
FAX TELEPHONE:	703/308-4242
OFFICE TELEPHONE:	703/308-1742
TITLE OF DOCUMENT:	

TOTAL NUMBER OF PAGES: 13 (including this form)

COMMENTS: As requested, attached are copies of the CPA transmittal and Information Disclosure Statement (with PTO 1449 and two references) filed April 10, 2000. We will file a Preliminary Amendment shortly. Thank you again for your assistance.

* CONFIDENTIALITY NOTICE

This facsimile transmission (and/or documents accompanying it) may contain Confidential Information belonging to the sender which is protected by the Attorney-Client privilege. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this information is strictly prohibited. If you have received this transmission in error, please immediately notify us by telephone to arrange for return of the documents.

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): David Michalovich et al.

Docket No.

GP-30039

Serial No.
09/240,410Filing Date
January 29, 1999Examiner
J. GraserGroup Art Unit
1641

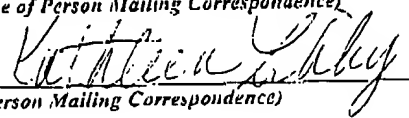
Invention: NOVEL COMPOUNDS

I hereby certify that this CPA transmittal form and enclosures
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
April 10, 2000
(Date)

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)


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